



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Robert W. Finberg *et al.*

Serial No.: 09/486,970

Filed: May 23, 2000

For: USE OF AGENTS WHICH BIND G
PROTEINS FOR TREATING SEPTIC SHOCK

Attorney Docket No.: DFN-025US

Examiner: Russel, J.

Group Art Unit: 1654

Commissioner for Patents
BOX ISSUE FEE
Washington, D.C. 20231
Attn: Official Draftsman

"Express Mail" mailing label number EV 244 878 512 US Date of Deposit March 10, 2003
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office
to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents,
BOX ISSUE FEE, Washington, DC 20231

Signature

Larry Taylor

Please Print Name of Person Signing

TRANSMITTAL OF FORMAL DRAWINGS

Dear Sir:

In response to the Notice of Allowance and Issue Fee Due dated December 10, 2002, transmitted
herewith are nine (9) sheets of formal drawings (Figures 1A-6).

Applicants respectfully submit that the formal drawings are in compliance with 37 C.F.R. § 1.84.
Applicants request that the drawings be filed in the above-referenced patent application. No new matter
has been added. Please charge any fees in connection with this matter to Deposit Account No. 12-0080,
for this purpose, a duplicate of this document is enclosed.

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

DeAnn F. Smith, Esq.

Registration No. 36,683

Attorney for Applicants

28 State Street
Boston, MA 02109
(617) 227-7400
Dated: March 10, 2003

12.02



(6)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CW
#20
4/7/03
JA

In re the application of: Robert W. Finberg *et al.*

Serial No.: 09/486,970

Filed: May 23, 2000

For: USE OF AGENTS WHICH BIND G
PROTEINS FOR TREATING SEPTIC SHOCK

Attorney Docket No.: DFN-025US

Examiner: Russel, J.

Group Art Unit: 1654

Box Issue Fee
Commissioner for Patents
Washington, D.C. 20231

WRITTEN ASSERTION OF ENTITLEMENT TO SMALL ENTITY STATUS

Dear Sir:

Small entity status for the above-identified application is hereby asserted under 37 C.F.R. 1.27(c)(1). Pursuant to 37 C.F.R. 1.28 (c), Applicants hereby authorize charge of Deposit Account No. 12-0080 in the amount of \$1280.00 (instead of \$650.00), which represents the Issue Fee based on small entity.

It is respectfully requested that the above-identified patent application be deemed a small entity.

"Express Mail" mailing label number <u>EV 244 878 512 US</u>	
Date of Deposit	<u>March 10, 2003</u>
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, DC 20231	
Signature	<u>Harry Taylor</u>
Please Print Name of Person Signing	

LAHIVE & COCKFIELD, LLP
Attorneys at Law

By DeAnn F. Smith
DeAnn F. Smith
Reg. No. 36,683
28 State Street
Boston, MA 02109
Tel. 617-227-7400
Fax 617-742-4214

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail Box ISSUE FEE**
Commissioner for Patents
Washington, D.C. 20231
Fax (703)746-4000

INSTRUCTIONS: This form should be used for transmitting the **ISSUE FEE** and **PUBLICATION FEE** (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (NOTE: Legibly mark-up with any corrections or use block 1)

959 7590 12/10/2002
LAHIVE & COCKFIELD
28 STATE STREET
BOSTON, MA 02109



Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission
 I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above, or being facsimile transmitted to the USPTO, on the date indicated below.

DeAnn F. Smith Reg. No. 36,683 (Depositor's name)

DeAnn F. Smith (Signature)

March 10, 2003 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/486,970	05/23/2000	ROBERT W. FINBERG	DFN-025US	8077

TITLE OF INVENTION: USE OF AGENTS WHICH BIND G PROTEINS FOR TREATING SEPTIC SHOCK

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES NO	\$1280 650	\$0	\$1280 650	03/10/2003

EXAMINER	ART UNIT	CLASS-SUBCLASS
RUSSEL, JEFFREY E	1654	514-002000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

LAHIVE & COCKFIELD LLP

2 Amy E. Mandragouras, Esq.

3 DeAnn F. Smith, Esq.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent)

☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

☒ Issue Fee

☒ Publication Fee

☒ Advance Order - # of Copies 10

4b. Payment of Fee(s):

☐ A check in the amount of the fee(s) is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☒ The Commissioner is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number **12-0080** (enclose an extra copy of this form).

Commissioner for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

(Date)

DeAnn F. Smith Reg. No. 36,683 3/10/03

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

03/17/2003 CV0222 00000102 120080 09486970

01 FC:1501 1300.00 CH

02 FC:1504 300.00 CH

03 FC:8001 30.00 CH

TRANSMIT THIS FORM WITH FEE(S)